

JUL 06 2005

07/06/2005 13:02 FAX 650 324 0638

HELLER EHRMAN MID#5

003

COMBINED FEE TRANSMITTAL for FY 2005

Effective 12/08/2004. Patent fees are subject to annual revision.

PTO/SB/17 (12-04) (Revised) (For payment of 37 CFR 1.17 fees including (f), (g), (h), & (i))

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known

Application Number	10,666,897
Filing Date	09/17/2003
First Named Inventor	Atanackovic
Examiner Name	Jackson, Jr., Jerome
Art Unit	2815
Attorney Docket No.	39230-0704

METHOD OF PAYMENT (check one)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None
☒ Deposit Account

Deposit Account Number: 08-1641

Deposit Account Name: Heller Ehrman White & McAuliffe LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below
☒ Credit any overpayments and charge any deficiencies
☐ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the deposit account

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Entity Fee (\$)	Small Entity Fee (\$)	Entity Fee (\$)	Small Entity Fee (\$)	Entity Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	135	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

SUBTOTAL (1) \$0

2. EXTRA CLAIM FEES

Entity Fee (\$)	Small Entity Fee (\$)	Fee Description
50	25	Each claim in excess of 20 or, for Reissues, each claim in excess of 20 and more than in the original patent
200	100	Each independent claim in excess of 3 or, for Reissues, each independent claim more than in the original patent
360	180	Multiple dependent claim, if not already paid

Extra Claims Fee from above Fee Paid

Total Claims -20** = x =
 Independent Claims -3** = x =

**or number previously paid, if greater. For Reissues see below

Multiple Dependent

SUBTOTAL (2) \$0

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof (round up to the whole number). See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s)

Total Sheets	Extra Sheets	Number of each additional 50	Fee (\$)	Small Entity Fee (\$)
-100 =	150 =	50	x 250 OR x 125	

SUBTOTAL (3) \$0

FEE CALCULATION (continued)

4. PETITION FEES UNDER 37 CFR 1.17 (f)			Fee Paid
Fee Code: 1462 Fee \$ 400 For petitions filed under: § 1.53(e); § 1.57(a); § 1.182; § 1.183; § 1.378(e); § 1.741(b)			
5. PETITION FEES UNDER 37 CFR 1.17 (g)			Fee Paid
Fee Code: 1463 Fee \$ 200 For petitions filed under: § 1.12; § 1.14; § 1.47; § 1.59; § 1.103(a); § 1.136(b); § 1.295; § 1.296; § 1.377; § 1.550(c); § 1.956; § 5.12; § 5.15; § 5.25			
6. PETITION FEES UNDER 37 CFR 1.17 (h)			Fee Paid
Fee Code: 1464 Fee \$ 130 For petitions filed under: § 1.19(g); § 1.84; § 1.91; § 1.102(d); § 1.138(c); § 1.313; § 1.314			
7. PROCESSING FEES UNDER 37 CFR 1.17 (i)			Fee Paid
Fee Code: 1808 (1803 for § 1.221) Fee \$ 130 For petitions filed under: § 1.28(c)(3); § 1.41; § 1.48; § 1.52(d); § 1.53(b)(3); § 1.55; § 1.99(e); § 1.103(b); § 1.103(c); § 1.103(d); § 1.217; § 1.221; § 1.291(e)(5); § 1.497(d); § 3.81			
8. OTHER FEES			
Entity Fee (\$)	Entity Fee (\$)	Fee Description	Fee Paid
130	65	Surcharge - late filing fee or oath	
50	25	Surcharge - late provisional filing fee or cover sheet	
130	130	Non-English specification	
2,520	2,520	For filing a request for <i>ex parte</i> reexamination	
920*	920*	Requesting publication of SIR prior to Examiner action	
1,840*	1,840*	Requesting publication of SIR after Examiner action	
120	60	Extension for reply within first month	
450	225	Extension for reply within second month	
1,020	510	Extension for reply within third month	510
1,590	795	Extension for reply within fourth month	
2,160	1,080	Extension for reply within fifth month	
500	250	Filing a brief in support of an appeal	
790	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1,510	1,510	Petition to institute a public use proceeding	
500	250	Petition to revive - unavoidably abandoned application	
1,500	750	Petition to revive - unintentionally abandoned application	
50	50	Processing fee for provisional apps (37 CFR 1.17(q))	
180	180	Submission of Information Disclosure Statement	
1,000	500	Request for oral hearing	
790	395	For each additional invention to be examined (37 CFR 1.129(b))	
790	395	Request for Continued Examination (RCE)	
900	900	Request for expedited examination of a design application	

Other fee (specify)

SUBTOTAL (4+5+6+7+8)

\$ 510

* Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name Paul Davis

Registration No. (Attorney/Agent)

29,294

Complete (if applicable)

Telephone 650 324-7041

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Ehrman
ATTORNEYSRECEIVED
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Facsimile Transmittal

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JUL 06 2005

324-0638

To: Examiner Jerome Jackson, Jr., USPTO
Telephone: 571 272-1730

Fax: 703 872-9306

From: Paul Davis
Telephone: (650) 324-7041

No. of Pages: 16 (including cover)
Date: July 6, 2005

4983/39230-0704

Message:

Inventor: Atanackovic

Application No.: 10/666,897

Filed: 09/17/2003

For: Devices with Optical Gain in Silicon

Group Art Unit: 2815

Examiner: Jackson, Jr., Jerome

RECEIVED
OIPE/IAP

JUL 07 2005

1. Transmittal Form (1 pg)
2. Combined Fee Transmittal for FY 2005 (1 pg)
3. Response to Final Office Action or in the Alternative, Notice of Appeal (10 pgs)
4. Annotated marked up drawings (2 sheets)
5. Notice of Appeal from the Examiner to the Board of Patent Appeals and Interferences (1 pg)

OFFICIAL FILING

SV 2133701 v1
7/6/05 11:38 AM (39238.0753)

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Heller Ehrman White & McCauliffe LLP www.hewm.com

New York Washington D.C. Montgomery Co., MD Madison, WI
PAGE 1/16 * RCVD AT 7/6/2005 4:00:38 PM (Eastern Daylight Time) * SVR:USPTO-EFXXF-1/2 * DNIS:8729306 * CSID:650 324 0638 * DURATION (mm-ss):05-42

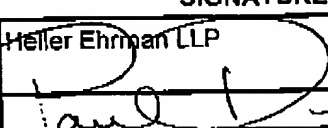
PTO/SB/21 (09-04)

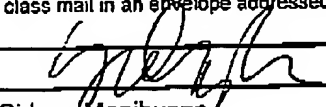
Approved for use through 07/31/2006. OMB 0651-0031
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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/666,897	
	Filing Date	09/17/2003	
	First Named Inventor	Atanackovic	
	Art Unit	2815	
	Examiner Name	Jackson, Jr., Jerome	
Total Number of Pages in This Submission	15	Attorney Docket Number	39230-0704

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input checked="" type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input checked="" type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Drawing(s) (Figs 4a & 7 - annotated marked up drawings) <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Notice of Appeal (if necessary)
Remarks The Commissioner is authorized to charge deposit account no. 08-1641 for any fees due in connection with this paper.		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	Heller Ehrman LLP		
Signature			
Printed name	Paul Davis		
Date	July 6, 2005	Reg. No.	29,294

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature			
Typed or printed name	Sidney Manibusan	Date	July 6, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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